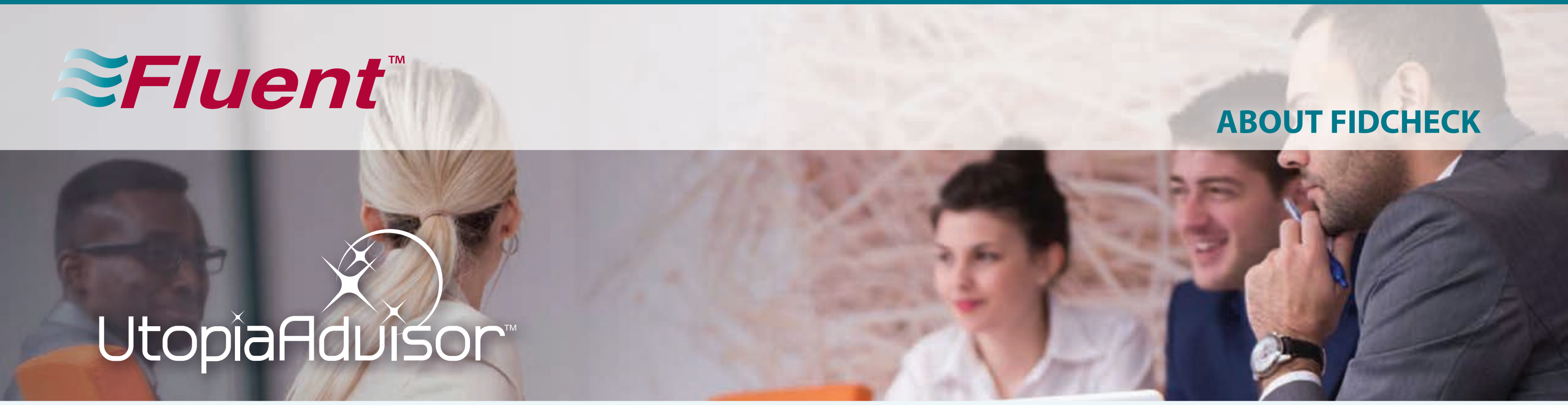

ABOUT FIDCHECK

DO YOU NEED AN EASY WAY TO STAY COMPLIANT WITH FIDUCIARY RESPONSIBILITIES?

UtopiaAdvisor's fiduciary practice management tools will help you document investment recommendations compliant with fiduciary expectations.

UtopiaAdvisor's fiduciary checklist will give you a dynamic workflow for following all the steps required in monitoring and documenting advisor actions. And our fiduciary utilities, such as our commenting and image capture tools, allow you to create verbal and visual records of important events.

ANALYZE ☑

- Automatically access alternative funds that have passed the plan IPS.
- Use the Fund Compare tool to evaluate alternatives, versus the failing fund, and probe deeper.

TAKE ACTION ☑

- Add and remove funds from the plan.
- Share recommendations with home office for proposal approval, or present to the plan sponsor.

DOCUMENT ☑

- Automate the fiduciary checklist process with our tool – UtopiaAdvisor records as you act.
- Make comments on actions to explain why you changed/or did not change funds in the line-up.
- Record the "Why" of your recommendations by saving images of the fund comparison including bar charts and tables.
- Collaborate with the plan sponsor and record their agreement to recommendations.

ARCHIVE ☑

- View a historical record of your actions.
- Submit records of actions to the home office.
- Access all reports and documents presented to your plan sponsor.

Put your clients' interests first. Communicate and show that your advice and practice is best. Comment and document why you made each and every recommendation. Prove your compliance to fiduciary responsibilities. Show that you are the expert, trusted advisor.

CONTACT US

For more information, a product demo and an analysis of how we can help you energize your client meetings, please contact us at 781-939-0900, or info@fluenttech.com.

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