DO YOU NEED AN EASY WAY TO STAY COMPLIANT WITH FIDUCIARY RESPONSIBILITIES?

UtopiaAdvisor's fiduciary practice management tools will help you document investment recommendations compliant with fiduciary expectations.

UtopiaAdvisor's fiduciary checklist will give you a dynamic workflow for following all the steps required in monitoring and documenting advisor recommendations. Our fiduciary utilities, such as our commenting and image capture tools, allow you to create verbal and visual records of important events.

Fiduciary Functions

ANALYZE ☑

- Automatically access alternative funds that have passed the plan IPS.
- Use the Fund Compare tool to evaluate alternatives, versus the failing fund, and probe deeper.

TAKE ACTION ☑

- Add and remove funds from the plan in the fund and fund line-up proposal application.
- Share recommendations with home office for proposal approval, or present to the plan sponsor.

Fiduciary Action Records

DOCUMENT ☑

- Automate the fiduciary checklist process with our tool – UtopiaAdvisor records as you act.
- Make comments on actions to explain why you changed/or did not change funds in the line-up.
- Record the "Why" of your recommendations by saving images of the fund comparison including bar charts and tables.
- Collaborate with the plan sponsor and record their agreement to recommendations.

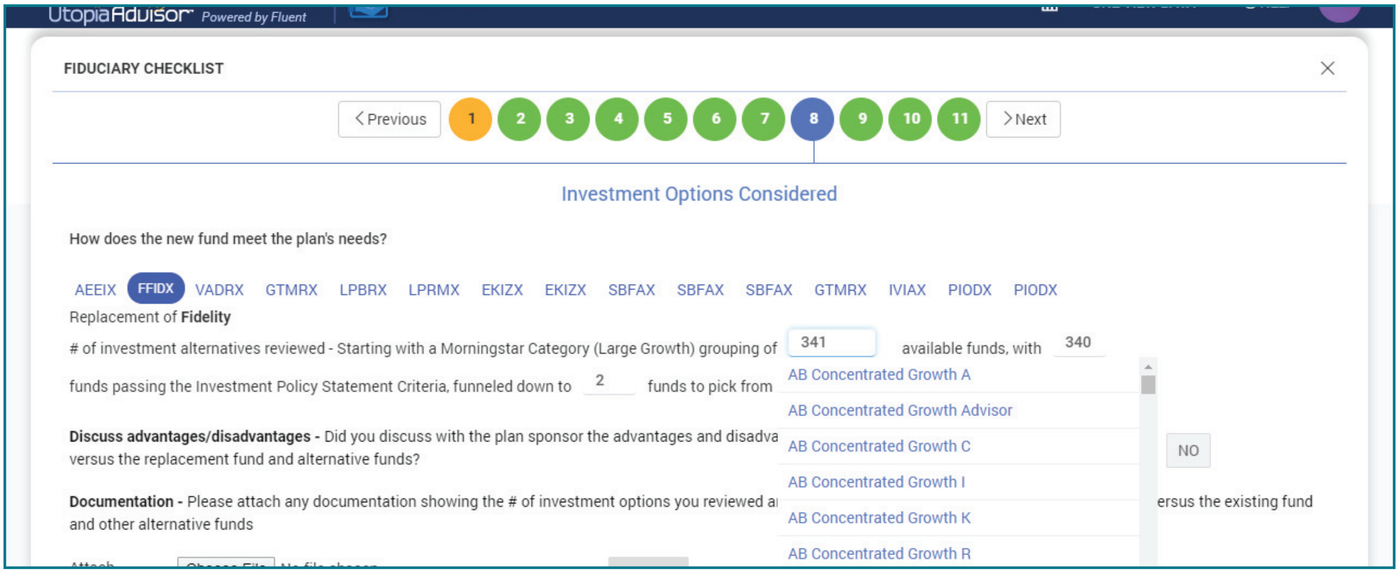
ARCHIVE ☑

- View a historical record of your actions.
- Submit records of actions to the home office.
- Access all reports and documents presented to your plan sponsor.

Put your clients' interests first. Communicate and show that your advice and practice is best. Comment and document why you made each and every recommendation. Prove your compliance to fiduciary responsibilities. Show that you are the expert, trusted advisor.

AN ELEVEN-STAGE RECORD

The UtopiaAdvisor Fiduciary Checklist complies with the now vacated D.O.L. conflict of interest fiduciary rule, because it is a sustainable best practice.



About UtopiaAdvisor

Plan evaluation tools for client-facing teams and advisors

UtopiaAdvisor™ provides retirement plan professionals optimized plan data and simplified all-in-one technology, so they can spend more time with clients improving plan outcomes, protecting their business portfolio and building new business opportunities. UtopiaAdvisor™ is an intuitive suite of plan and investment evaluation tools that transform data into meaningful action-based information to exhibit the true health of a plan in collaborative, mobile friendly software, with integrated on-demand client reporting. Optimize your practice, comply with practice management expectations, and protect and grow your business by demonstrating your value with UtopiaAdvisor™.

About Fluent

Fluent is a FinTech company that develops software solutions for the Investment Community. We focus on the needs of retirement plan professionals. We are entrepreneurs and innovators, with direct industry work experience and consumers of the industries products and services. We are committed to solving problems and addressing market trends and bring nearly 25 years of successful client outcomes. Our mission is to improve the practices and resources of plan professionals, so they can GUIDE their sponsors and participants to informed decisions, actions and satisfaction. Our vision is that every plan provider, advisor and client is aware of their position in a retirement plan benefit and can easily act to control their outcome.

CONTACT US

For more information, a product demo and an analysis of how we can help you energize your client meetings, please contact us at 781-939-0900, or info@fluenttech.com.

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