Fluent™

ABOUT INVESTMENT REVIEW

INVESTMENT REVIEW[1]

Your client meetings have become interactive discussions with expectations for dynamic content. Yet the tools commonly available have not kept pace with today's expectations. UtopiaAdvisor's Investment Review suite gives you a comprehensive fund line-up analysis, followed by good communications and reporting, and includes on-line fact sheets and fund monitoring and scoring capability against the plan Investment Policy Statement.

With UtopiaAdvisor Investment Review Suite you have the six essential capabilites that you need in one integrated platform to use on the fly, during your client meeting, including:

1 IPS WATCHLIST CRITERIA BUILDER

- Set single or multiple criteria most important to you and the plan
- Monitor product and plan criteria
- Use weighted percentage or number criteria
- Integrate the line-up review with your overall Investment Policy Statement

2 FUND MONITORING

- Alerts for immediate action
- Performance reporting
- Fund and fund line-up scoring

3 INVESTMENT LINE-UP PROPOSAL BUILDER

- Set up unique plan line-up solutions for new business practices
- Select funds or import line-ups
- Perform due diligence on existing plan line-ups
- Compete in take-aways
- Save fund line-up as models or create products
- View and compare fund alternatives
- Stress test against IPS
- Compare and measure fees, expenses and revenue
- Integrate fund proposal plug-in with your overall proposal process

4 FUND COMPARISON

- Peer group fund comparison and alternative selector
- Compare with custom or IPS WL criteria
- Archive fund comparison records for compliance records

5 FIDUCIARY CHECKLIST

- Confirm practice management compliance
- Research and sharing evidence capture tool
- Documented actions & history
- Download activity reports
- Checklist confirm to all steps required and completed
- Aggregated comments
- Fee, Expense and Revenue check

6 INVESTMENT DOCUMENTS

- Fund monitoring reports
- Proposals
- HTML or PDF fund fact sheets
- Quarterly and annual investment review

UTOPIAADVISOR, YOUR SOLUTION FOR ENERGIZING CLIENT MEETINGS.

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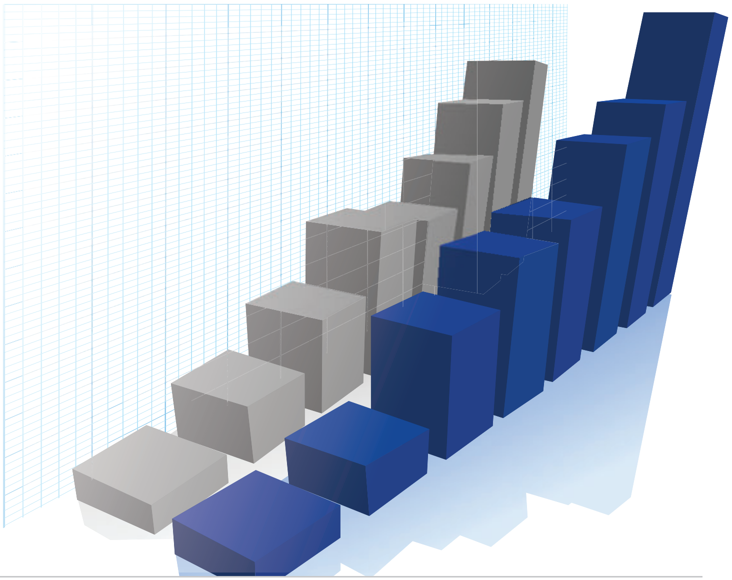
Fund monitoring, and fee and expense reporting are well established and regulated. Yet, we find that the tools supporting those practices are old, disconnected, inefficient and do not help the advisor bring the best value to the plan sponsor, nor create fiduciary records for compliance.

Compare the UtopiaAdvisor Investment Review suite to the tools you are using today. We are confident you will recognize the difference. It *will* position you as the *trusted advisor.*



[1] For advisors, the Investment Review suite requires a fee-based 3rd-party fund data source. Licensing at economies of scale rates is only available through a Broker Dealer or RIA platform marketing agreement. Please call for fee estimates that align with your business need.
Record keeping platforms can license UtopiaAdvisor and configure unique instances supporting proprietary strategic initiatives. In these instances, 3rd party data can be integrated under your use licensing agreement with your provider.

About UtopiaAdvisor

Plan evaluation tools for client-facing teams and advisors

UtopiaAdvisor™ provides retirement plan professionals optimized plan data and simplified all-in-one technology, so they can spend more time with clients improving plan outcomes, protecting their business portfolio and building new business opportunities. UtopiaAdvisor™ is an intuitive suite of plan and investment evaluation tools that transform data into meaningful action-based information to exhibit the true health of a plan in collaborative, mobile friendly software, with integrated on-demand client reporting. Optimize your practice, comply with practice management expectations, and protect and grow your business by demonstrating your value with UtopiaAdvisor™.

About Fluent

Fluent is a FinTech company that develops software solutions for the Investment Community. We focus on the needs of retirement plan professionals. We are entrepreneurs and innovators, with direct industry work experience and consumers of the industries products and services. We are committed to solving problems and addressing market trends and bring nearly 25 years of successful client outcomes. Our mission is to improve the practices and resources of plan professionals, so they can GUIDE their sponsors and participants to informed decisions, actions and satisfaction. Our vision is that every plan provider, advisor and client is aware of their position in a retirement plan benefit and can easily act to control their outcome.

CONTACT US

For more information, a product demo and an analysis of how we can help you energize your client meetings, please contact us at 781-939-0900, or info@fluenttech.com.

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